AD					

Award Number: DAMD17-00-1-0585

TITLE: Reliability, Security, and Authenticity of Meta Medical

Image Archive for the Integrated Healthcare Enterprise

PRINCIPAL INVESTIGATOR: Robert Gould

CONTRACTING ORGANIZATION: The Regents of the University of

California

San Francisco, California 94143

REPORT DATE: October 2002

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;

Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

			T	Foi	rm Approved	
	OUMENTATION	PAGE		OMB	No. 074-0188	
REPORT DO ic reporting burden for this collection of information data needed, and completing and reviewing this co	CUMENTATION COMPANY TO THE PROPERTY OF THE PRO	onse, including t	the time for reviewing inst	sections searching existing	data sources, gathering and maintaining	
c reporting burden for this collection of information lata needed, and completing and reviewing this coing this burden to Washington Headquarters Serging this burden to Washington Headquarters Serging the sergi	is estimated to average i flour per response is estimated to average i flour per response in the section of information. Send comments relation of information.	regarding this bu	ırden estimate or any otn s, 1215 Jefferson Davis H	ighway, Suite 1204, Arlingt	on, VA 22202-4302, and to the Office of	
ata needed, and completing this burden to Washington Headquarters Sering this burden to Washington Headquarters Sering this burden to Washington Projection Projectio	ct (0704-0188), Washington, DC 20503	10.05	DORT TYPE AND	DATES COVERED		
AGENCY USE ONLY (Leave blank)	2. REPORT DAIL	3. RE	nal (1 Oct	oo - 30 Sep	02)	
	OCCODET TOTAL			I K FUNDING NOT	AIDE 10	
ritle and subtitle liability, Security, and Aut		ical Imag	e Archive	DAMD17-00-1	0565	
diability Security and Aut	nenticity of Micia Mica	1041 22-10	,			
r the Integrated Healthcare	Enterprise			}	·	
				1	1	
AUTHOR(S)				,		
obert Gould					ļ	
	ADDDECC(EC)			8. PERFORMING	ORGANIZATION	
PERFORMING ORGANIZATION NA	ME(S) AND ADDRESSIES!			REPORT NUMBER		
	university of C	alifor	nia			
he Regents of the	fornia 94143					
San Francisco, Call	TOTILIA					
:-Mail: Robert.gould@r	adiology.ucsf.edu			- AMONITORING		
. SPONSORING / MONITORING AC	SENCY NAME(S) AND ADDRE	ESS(ES)		10. SPONSORING / MONITORING AGENCY REPORT NUMBER		
. SPONSORING / MONITORING A	THIS I IN COLUMN TO SERVE			ACE. III		
J.S. Army Medical Research	arch and Materiel (Command				
Fort Detrick, Maryland	21702-5012					
Ole Beeller,			Phys. cas		**************************************	
		:			701 DO1	
TO SELECT ON MOTES			Hadde	2005O	724 096	
11. SUPPLEMENTARY NOTES			Liabure su ^a		(LT V/V)	
					12b. DISTRIBUTION CODE	
	V CTATEMENT				120. DISTRIBUTION	
12a. DISTRIBUTION / AVAILABILIT	1 STATEMENT	- 1	1. 3			
Approved for Public Re	elease; Distributio	on Unlim	nitea			
Approved for						
					-	
13. ABSTRACT (Maximum 200 W Meta-management using b	ords)		nainaly acces	nted for inte	rconnecting multiple	
Meta-management using b Picture Archiving and C	roker concept has be	en incre	via global n	etwork in the	Integrated Healthcare	
ni Archiving and L	OmmunitCation of come				aiact antitled U.S.	
Enterprise (IHE). An e Army Virtual Radiology	Environment (USAVRE)	", annou	inced by the	U.S. military	ange and information	
this broker-bas	ear meta-management	-F	in the aspec	ts of interess	<u></u>	
l protection for medical	Illages within an and					
The proposed project wi	11 use our existing	asynchro	onous transfe	r mode (ATM)	th different clinical	
:lomented next denera	ICION THEFTHEE (MOT)			components	The first component	
l cottings in Northern Co	illioinia. Our beaul	1		+~m as the te	istbed to simulate the	
l :- +- dovolon a meta me	edical image aroni.			-1	t is to implement a	
meta-management of multi- comprehensive information	ion protection infras	structure	e based on re	eliability, se	ecurity, and authentici	
comprehensive information multi-PACS medical	images on top of MM	IA. We v	will evaluate	the two comp	ines in multiple PACS	
for multi-PACS medical and clinical aspects.	Results from this st	tudy wil	for the THE	orcant garder	•	
and clinical aspects. integration to support	healthcare delivery	systems	TOT CHE THE		·	
to make a large proper support and the large proper support and the large part and the la					15. NUMBER OF PAGES	
14. SUBJECT TERMS					9	
PACS, Meta-managemen	t, IHE				16. PRICE CODE	
			19. SECURITY CI	ASSIFICATION	20. LIMITATION OF ABSTRA	
17. SECURITY CLASSIFICATION	N 18. SECURITY CLASSIFI	CATION	OF ABSTRAC	Т		
OF REPORT	OF THIS PAGE Unclassifie	ed	Uncla	aaified	Unlimited	
Unclassified	UIICIASSILIC				Standard Form 298 (Rev. 2-89) Prescribed by ANSI Std. Z39-18	
NSN 7540-01-280-5500					298-102	

NSN 7540-01-280-5500

Table of Contents

Cover	
SF 298	
Introduction	
Body	4
Key Research Accomplishments	8
Reportable Outcomes	9
Conclusions	9
References	
Annandicas	

Introduction

Picture Archiving and Communication Systems (PACS) provide the means to acquire, store, and manage medical images for use in clinical review and diagnosis. The Digital Imaging and Communications (DICOM) standard for the communication of images permits implementation of a PACS that interconnects multi-vendor medical imaging equipment capable of delivering reliable and efficient services in support of patient care within their domains. Deployment of commercial PACS in hospital settings has grown at a double-digit However, when a patient accesses care at multiple institutions, the rate in recent years. PACS in those facilities need to be integrated to provide cross-system interoperability. One solution to address problems with this integration that stems from different information infrastructures at different institutions, is to use a meta-manager as a systems broker to interconnect the different databases. A primary concern of the broker implementation approach is how to identify and protect patient information across the enterprise-wide medical imaging infrastructure, since in many occasions this is out of the security domain of an individual PACS in a local hospital setting. The purpose of this project is to develop a Meta Medical Image Archive (MMIA) test-bed that interconnects multiple PACS and permits issues such as reliability, security, and image authenticity to be addressed and explored.

Body

Task Accomplishments:

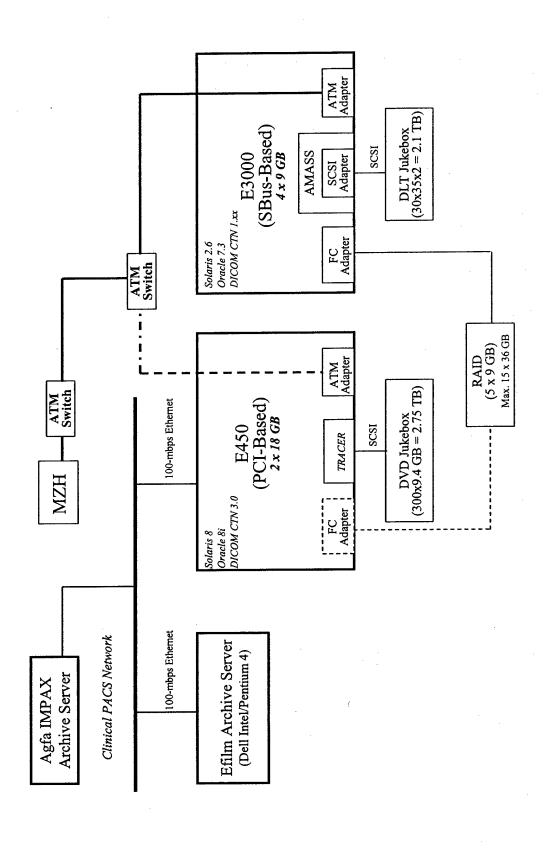
Design and implementation of a Meta Medical Image Archive (MMIA) test-bed (Task 3).

a) Hardware developments

Principal efforts during the second year of the project have been to design and implement an MMIA test-bed with appropriate software tools. The current component configuration is shown in Figure 1. Software development has proceeded using the PCI based E450/ Solaris 8 system with the intent of porting this to the E3000. However, current failover software requires that all computers in a cluster have the same bus structure. Thus, in order to implement failover components, the E3000 will need to be replaced. A 100-slot DVD-RAM jukebox has been exchanged for a 300-slot jukebox to increase the capacity of the long-term image archive. This new jukebox is equipped with a media flipper that can operate double-sided, 9.4-GB DVD-RAM media. The jukebox has been successfully connected to the Sun E450 host computer.

The test cluster connects the MMIA archive server to two commercial image archive systems, IMPAX from Agfa Medical Imaging and eFilm from Merge Technologies, Inc. This test-bed can be used for testing the communication of images among these three archive systems. b) Software developments

Installation of Digital Imaging and Communications in Medicine (DICOM) standard software on the MMIA has been accomplished and the development of the broker software has progressed. The DICOM software from the Mallinckrodt Institute of Radiology's CTN 3.0 utility libraries was installed to serve as an application programming interface (API) to support MMIA's image communication and storage applications. Design functions of the MMIA are shown in Figure 2. The following software is currently operable.



2

Figure 1 Configuration of the MMIA system. The E450 and #3000 computer systems are both connected to the UCSF PACS network so that medical images can be received by MMIA or distributed from MMIA to any UCSF PACS component. The DVD jukebox is attached to the E450 computer, and the DLT jukebox and RAID device are both attached to the E3000 computer.

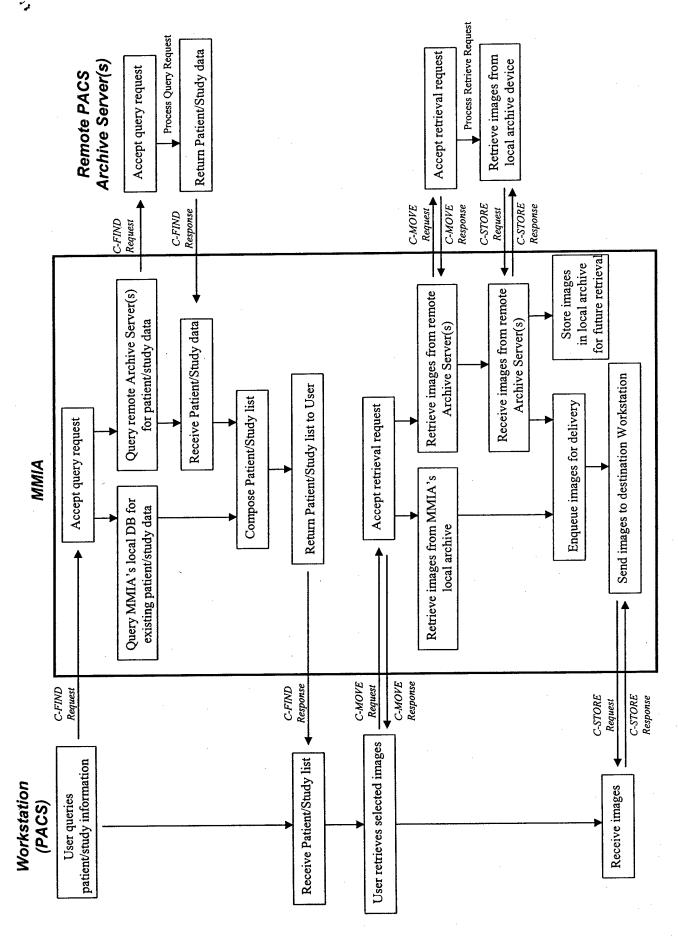


Figure 2 Functions of the MMIA broker

9

- Query and Retrieve Software: MMIA is capable to query patient examination information and retrieve selected examinations from both IMPAX and eFilm systems using standard DICOM C-FIND and C-MOVE services.
- Image Archive Software: MMIA accepts images to be transmitted from any DICOM-compliant imaging devices. These images are stored in MMIA's cache and long-term storage devices, which can then be retrieved at any DICOM workstations. Patient demographics and examination information are extracted from the header of these images, and are stored in the MMIA database in support of the query and retrieve operations.
- The implemented MMIA Query/Retrieve (Q/R) SCP software supports DICOM's two hierarchical Q/R information models: Patient Root and Study Root. Images can be retrieved either in the study or in the series level.
- A master patient table (MASTER.TBL) has been implemented in the MMIA database. This table provides necessary information for mapping a patient's identification (PATID) that is assigned differently by the individual medical facilities. The mapping mechanism is used to support MMIA's broker function (see next item), and a mapping algorithm is being implemented. The algorithm is based on identifying characteristics found in the DICOM image header of more than 15 modalities and models of digital imaging equipment. Three identifiers were present, namely the patient's name, the date of birth and the sex.
- A broker function is being implemented in the MMIA to allow query and retrieval requests from a user workstation (e.g., eFilm) be relayed to a third-party image archive (e.g., IMPAX). The response data from the requested archive server will be passed back to the requesting workstation via MMIA. These data (medical images and relevant examination information) can optionally be stored in MMIA's local archive device and database for future retrieval. Figure 3 illustrates the broker function of MMIA to process a query request based on DICOM's C-FIND service.

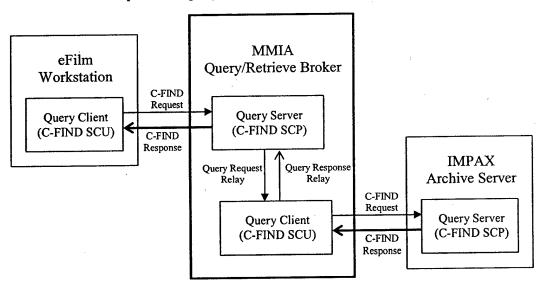


Figure 3. C-Find operations of the MMIA.

Establish MMIA/PACS connections (Task 4)

As seen in Figure 1, the MMIA is connected to both the Agfa clinical PACS and a research PACS archive, an eFilm from Merge Technologies, Inc. The clinical PACS is linked to the San Francisco VA PACS using a DICOM server. Studies from the VA can be pushed to this server, which in turn accepts DICOM query/retrieve operations from the Agfa PACS. The MMIA can also this query the server and retrieve studies that have been sent to it. The VA does not permit queries of its PACS from outside institutions.

Because of its connection the clinical PACS, the MMIA has access to images from all digital modalities including CT, MR, CR, DR, digital mammography, ultrasound, angiography, digital fluorographic spot images, nuclear medicine and PET. Equipment connected to the PACS originates from more than 10 manufacturers and many models of equipment. For example CR images are obtained from 2 vendor's equipment and 4 models. Access to the long-term archive of the clinical PACS increases the variety of equipment models that produced the images. DICOM services of the MMIA for images from all these modalities have been tested.

Thus the MMIA test cluster can broker between multiple archives including those where the patient identification is not identical. The master patient table (MASTER.TBL) implemented in the MMIA database provides necessary information for mapping a patient's identification (PATID) that is assigned differently by the individual medical facilities.

Research Accomplishments

- Utilization of industry-standard UDF file format in the archived images on DVD-RAM media provides transportability of the media, which consequently enables the archived images to be accessible by heterogeneous computer systems regardless computer hardware platform and operating system environment of these systems.
- The MMIA broker function allows multi-vendor image archive systems to be virtually interconnected via MMIA. Archived images and relevant examination information that are stored in the individual archive systems can be available as a single source from the MMIA broker.
- The varied DICOM information models (e.g., Patient Root query and Study Root query) adopted by individual PACS systems make MMIA more difficult in integrating the data and delivering them to the users in a single coherent view.
- Patient identification, commonly known as a patient's Medical Record Number (MRN) in a Hospital Information System (HIS), is usually assigned differently to a patient by the individual hospitals. Therefore, a patient with different MRNs in a multiple PACS environment could make the query and retrieve operations more complicated and less accurate. Use of a master patient table based on identifiers in the image header reduces chances of misidentification.

Reportable Outcomes

Presentation on the concepts and findings of this study has been made at several intrainstitutional research seminars.

Conclusions

Implementation of a meta-manager as a system broker is necessary to overcome problems associated with patient and study identification, which frequently differ when multiple institutions are involved. While the DICOM standard is now nearly universally implemented on imaging equipment and in commercial PACS, information infrastructures at different institutions do not conform to any universal standards. Thus while it is possible to query a PACS using standard DICOM processes, identification of an individual can be difficult. The broker must not only relay these processes and their results, it must make sure that any relayed query for patient data is for the correct patient. For example, if at institution A, a patient is assigned Medical Record Number (MRN) X and at a different institution, that patient has MRN Y and a query is made through the MMIA from the first institution to the second, the broker must recognize that MRN discrepancy. This can be done by use of a master patient table within the MMIA, but population of this table is difficult. Thus we have determined that assuring the authenticity of the data with respect to patient identification is the most difficult aspect of broker implementation.

In the upcoming year we will complete the implementation and development of the MMIA software on the testbed. We will seek to change the Statement of work with regard to security since software encryption methods are now easily implemented and will be used rather than the Celotek hardware technology. We will also implement the failover technology to assure reliability.

The major components of the MMIA testbed are now in place. The testbed has been connected to the clinical PACS and DICOM queries between different PACS through the MMIA are possible. The MMIA can respond to DICOM queries, store studies in an optical archive, and send studies to a PACS. A database structure has been developed within the MMIA that can resolve patient identification problems although further development of this concept is necessary.